SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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hours per response:	0.5
Estimated average burden	

1. Name and Address of Reporting Person [*] Throsby Mark		Person*	2. Issuer Name and Ticker or Trading Symbol <u>Merus N.V.</u> [MRUS]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
					Director	10% Owner				
(Last) (First) C/O MERUS N.V. YALELAAN 62		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 02/24/2020		Officer (give title below) EVP & Chief Scie	Other (specify below) ntific Officer				
			4. If Amendment, Date of Original Filed (Month/Day/Year)		6. Individual or Joint/Group Filing (Check Applical					
(Street) UTRECHT	P7	3584 CM	_	Line) X	Form filed by One Re Form filed by More the Person	, e				
(City)	(State)	(Zip)								

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.					Securities	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Shares ⁽¹⁾	02/24/2020		М		87,621	Α	(2)	100,903	D	
Common Shares ⁽¹⁾	02/24/2020		S		57,088	D	\$ 17.74 ⁽³⁾	43,815	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Sec Acq (A) o Disp of (I	umber vative urities uired or oosed O) (Instr. and 5)	6. Date Exerc Expiration Da (Month/Day/)	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Share Option (right to buy) ⁽¹⁾	(4)	02/24/2020		М			3,333	(5)	09/04/2020	Common Shares	3,333	\$0.00	0 ⁽⁶⁾	D	
Share Option (right to buy) ⁽¹⁾	(4)	02/24/2020		М			5,000	(5)	06/17/2022	Common Shares	5,000	\$0.00	0 ⁽⁷⁾	D	
Share Option (right to buy) ⁽¹⁾	(4)	02/24/2020		М			31,472	(5)	03/16/2023	Common Shares	31,472	\$0.00	0 ⁽⁸⁾	D	
Share Option (right to buy) ⁽¹⁾	(9)	02/24/2020		М			47,816	(5)	10/30/2023	Common Shares	47,816	\$0.00	1,017 ⁽¹⁰⁾	D	

Explanation of Responses:

1. This transaction was effected pursuant to a Rule 10b5-1 trading plan.

2. Exercise prices are denominated in Euros and ranged from 1.93 to 7.20, with a weighted average of 4.81.

3. Amount represents the weighted average price of shares sold, which ranged from \$17.07 to \$18.56. Details of individual transactions are available upon request.

4. Exercise price is denominated in Euros at 1.93.

5. These options are fully vested.

6. Number of derivative securities beneficially owned following the reported transaction is in reference to the number of options that remain outstanding of those originally granted on September 4, 2012.

7. Number of derivative securities beneficially owned following the reported transaction is in reference to the number of options that remain outstanding of those originally granted on June 17, 2014.

8. Number of derivative securities beneficially owned following the reported transaction is in reference to the number of options that remain outstanding of those originally granted on March 16, 2015. 9. Exercise price is denominated in Euros at 7.20.

10. Number of derivative securities beneficially owned following the reported transaction is in reference to the number of options that remain outstanding of those originally granted on October 30, 2015. **Remarks:**

> /s/ Peter Silverman as attorney-02/26/2020 in-fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.